

DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

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Annexure–B

Investor Complaints Data

Data for the month ending on January, 2024

S. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution on time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Depositories-CDSL	1	0	0	1	0	0	30
5	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	1	0	0	1	0	0	30

Trend of monthly disposal of complaints

S.No	Month	Carried forward from previous month	Received		Resolved		Pending	
			Broking Division (NSE/BSE/MCX)	Depository Division-CDSL	Broking Division (NSE/BSE/MCX)	Depository Division-CDSL	Broking Division (NSE/BSE/MCX)	Depository Division-CDSL
1	2	3	4		5		6	
1	April,2023	0	0	0	0	0	0	0
2	May,2023	0	0	0	0	0	0	0
3	June,2023	0	0	0	0	0	0	0
4	July,2023	0	0	0	0	0	0	0
5	August,2023	0	0	0	0	0	0	0
6	September, 2023	0	0	0	0	0	0	0
7	October, 2023	0	0	0	0	0	0	0
8	November, 2023	0	0	0	0	0	0	0
9	December, 2023	0	0	1	0	0	0	1
10	January, 2024	1	0	0	0	1	0	0
	Grand Total	1	0		1		0	

Trend of Annual disposal of Complaints

S.No.	Year	Carried forward from previous year	Received during the year		Resolved during the year		Pending at the end of the year	
			Broking Division (NSE/BSE/MCX)	Depository Division-CDSL	Broking Division (NSE/BSE/MCX)	Depository Division-CDSL	Broking Division (NSE/BSE/MCX)	Depository Division-CDSL
1	2017-18	0	0	0	0	0	0	0
2	2018-19	0	1	0	1	0	0	0
3	2019-20	0	0	0	0	0	0	0
4	2020-21	0	2	0	2	0	0	0
5	2021-22	0	0	1	0	1	0	0
6	2022-23	0	0	1	0	1	0	0
	Grand Total	Nil	4		4		0	