

DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
 - MCX ICEX: COMMODITIES
 - DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS
- SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi – 110001

Tel.:011-43606162 Website: www.dbonline.in; E-Mail: compliance@dbonline.in

CIN: L67120GJ1992PLC121278

Annexure–B

Investor Complaints Data

Data for the month ending on May, 2024

| S. No. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved | Pending at the end of the month | | Average Resolution on time (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|----------|---------------------------------|--------------------------------|--------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Depositories-CDSL | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Regd. Off.: Unit No. 210/211/211A at 2nd floor, Dalal Street Commercial Cooperative Society Ltd, Block No.53, Zone 5, Gift City, Gandhinagar, Gujarat-382355

Trend of monthly disposal of complaints

| S.No | Month | Carried forward from previous month | Received | | Resolved | | Pending | |
|------|--------------------|-------------------------------------|---------------------------------|--------------------------|--------------------------------|--------------------------|---------------------------------|--------------------------|
| | | | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL | Broking Division (NSE/BSE/MCX) | Depository Division-CDSL | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL |
| 1 | 2 | 3 | 4 | | 5 | | 6 | |
| 1 | April, 2024 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | May, 2024 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | | 0 | | 0 | |

Trend of Annual disposal of Complaints

| S.No. | Year | Carried forward from previous year | Received during the year | | Resolved during the year | | Pending at the end of the year | |
|-------|--------------------|------------------------------------|---------------------------------|--------------------------|--------------------------------|--------------------------|---------------------------------|--------------------------|
| | | | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL | Broking Division (NSE/BSE/MCX) | Depository Division-CDSL | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL |
| 1 | 2017-18 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | 2018-19 | 0 | 1 | 0 | 1 | 0 | 0 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 2 | 0 | 2 | 0 | 0 | 0 |
| 5 | 2021-22 | 0 | 0 | 1 | 0 | 1 | 0 | 0 |
| 6 | 2022-23 | 0 | 0 | 1 | 0 | 1 | 0 | 0 |
| 7 | 2023-24 | 0 | 0 | 2 | 0 | 2 | 0 | 0 |
| | Grand Total | Nil | 7 | | 7 | | 0 | |