

MemberCode:NSE-06432,BSE-3129,MCX-12545 SEBIRegnNo.INZ000179035(Stock Broker) CDSLDPID:12036800 SEBIRegnNo.IN-DP-CDSL-266-2004(Depository Division)

Annexure-B

Investor Complaints Data

Data for every month ending on November, 2023

S. No.	Received from	Carried forward from previous month	Receive d during t e month	Total Pending	Resolved	Pending at the end of the month		Average Resolution on time (in days)
						Pending for less than 3 months	Pending for mce than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Depositories- CDSL	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

S .No	Month	Carried forward from previous month	Received		Resolved		Pending	
			Broking Division (NSE/BS E /MCX)	Depository Division- CDSL	Broking Division (NSE/BSE/ MCX)	Depositor yDivision- CDSL	Broking Division (NSE/BS E /MCX)	Depository Division- CDSL
1	2	3	4		5		6	
1	April,2023	0	0	0	0	0	0	0
2	May,2023	0	0	0	0	0	0	0
3	June,2023	0	0	0	0	0	0	0
4	July,2023	0	0	0	0	0	0	0
5	August,2023	0	0	0	0	0	0	0
6	September, 2023	0	0	0	0	0	0	0
7	October, 2023	0	0	0	0	0	0	0
8	November, 2023	0	0	0	0	0	0	0
	Grand Total	0	0		0		0	

Trend of Annual disposal of Complaints

S.	Year	Carrie d forward from previous year	Received during the year		Resolved during the year		Pending at the end of the year	
N O			Division	Depository Division- CDSL	Broking Division (NSE/BS E/MCX)	Depository Division- CDSL		Depository Division- CDSL
1	2017-18	0	0	0	0	0	0	0
2	2018-19	0	1	0	1	0	0	0
3	2019-20	0	0	0	0	0	0	0
4	2020-21	0	2	0	2	0	0	0
5	2021-22	0	0	1	0	1	0	0
6	2022-23	0	0	1	0	1	0	0
	Grand Total	Nil	4		4		0	