



**Trend of monthly disposal of complaints**

| S.No | Month              | Carried forward from previous month | Received                       |                          | Resolved                       |                          | Pending                        |                          |
|------|--------------------|-------------------------------------|--------------------------------|--------------------------|--------------------------------|--------------------------|--------------------------------|--------------------------|
|      |                    |                                     | Broking Division (NSE/BSE/MCX) | Depository Division-CDSL | Broking Division (NSE/BSE/MCX) | Depository Division-CDSL | Broking Division (NSE/BSE/MCX) | Depository Division-CDSL |
| 1    | 2                  | 3                                   | 4                              |                          | 5                              |                          | 6                              |                          |
| 1    | April,2023         | 0                                   | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
| 2    | May,2023           | 0                                   | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
| 3    | June,2023          | 0                                   | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
| 4    | July,2023          | 0                                   | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
| 5    | August,2023        | 0                                   | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
| 6    | September, 2023    | 0                                   | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
| 7    | October, 2023      | 0                                   | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
|      | <b>Grand Total</b> | <b>0</b>                            | <b>0</b>                       |                          | <b>0</b>                       |                          | <b>0</b>                       |                          |

**Trend of Annual disposal of Complaints**

| S.No | Year               | Carried forward from previous year | Received during the year       |                          | Resolved during the year       |                          | Pending at the end of the year |                          |
|------|--------------------|------------------------------------|--------------------------------|--------------------------|--------------------------------|--------------------------|--------------------------------|--------------------------|
|      |                    |                                    | Broking Division (NSE/BSE/MCX) | Depository Division-CDSL | Broking Division (NSE/BSE/MCX) | Depository Division-CDSL | Broking Division (NSE/BSE/MCX) | Depository Division-CDSL |
| 1    | 2017-18            | 0                                  | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
| 2    | 2018-19            | 0                                  | 1                              | 0                        | 1                              | 0                        | 0                              | 0                        |
| 3    | 2019-20            | 0                                  | 0                              | 0                        | 0                              | 0                        | 0                              | 0                        |
| 4    | 2020-21            | 0                                  | 2                              | 0                        | 2                              | 0                        | 0                              | 0                        |
| 5    | 2021-22            | 0                                  | 0                              | 1                        | 0                              | 1                        | 0                              | 0                        |
| 6    | 2022-23            | 0                                  | 0                              | 1                        | 0                              | 1                        | 0                              | 0                        |
|      | <b>Grand Total</b> | <b>Nil</b>                         | <b>4</b>                       |                          | <b>4</b>                       |                          | <b>0</b>                       |                          |