

# DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
  - MCX ICEX: COMMODITIES
  - DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS
- SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

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CIN: L67120GJ1992PLC121278

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## Annexure–B

### Investor Complaints Data for the Month ending on September, 2025

| S. No. | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved | Pending at the end of the month       |                                       | Average Resolution on time (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|----------|---------------------------------------|---------------------------------------|--------------------------------------|
|        |                         |                                     |                           |               |          | <i>Pending for less than 3 months</i> | <i>Pending for more than 3 months</i> |                                      |
| 1      | 2                       | 3                                   | 4                         | 5             | 6        | 7                                     |                                       | 8                                    |
| 1      | Directly from Investors | 0                                   | 0                         | 0             | 0        | 0                                     | 0                                     | 0                                    |
| 2      | SEBI (SCORES)           | 0                                   | 0                         | 0             | 0        | 0                                     | 0                                     | 0                                    |
| 3      | Stock Exchanges         | 0                                   | 0                         | 0             | 0        | 0                                     | 0                                     | 0                                    |
| 4      | Depositories-CDSL       | 0                                   | 0                         | 0             | 0        | 0                                     | 0                                     | 0                                    |
| 5      | Other Sources (if any)  | 0                                   | 0                         | 0             | 0        | 0                                     | 0                                     | 0                                    |
|        | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                  | <b>0</b>      | <b>0</b> | <b>0</b>                              | <b>0</b>                              | <b>0</b>                             |

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Regd. Off.: Unit No. 210/211/211A at 2<sup>nd</sup> Floor, Dalal Street Commercial Cooperative Society Ltd, Block No.53, Zone 5, Gift City, Gandhinagar, Gujarat-382355

**Trend of Monthly disposal of Complaints**

| S.No | Month              | Carried forward from previous month | Received                        |                          | Resolved                        |                          | Pending                         |                          |
|------|--------------------|-------------------------------------|---------------------------------|--------------------------|---------------------------------|--------------------------|---------------------------------|--------------------------|
|      |                    |                                     | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL | Broking Division (NSE/BSE/ MCX) | Depository Division-CDSL | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL |
| 1    | 2                  | 3                                   | 4                               |                          | 5                               |                          | 6                               |                          |
| 1    | April, 2025        | 0                                   | 0                               | 0                        | 0                               | 0                        | 0                               | 0                        |
| 2    | May, 2025          | 0                                   | 0                               | 0                        | 0                               | 0                        | 0                               | 0                        |
| 3    | June, 2025         | 0                                   | 0                               | 0                        | 0                               | 0                        | 0                               | 0                        |
| 4    | July, 2025         | 0                                   | 0                               | 0                        | 0                               | 0                        | 0                               | 0                        |
| 5    | August, 2025       | 0                                   | 0                               | 0                        | 0                               | 0                        | 0                               | 0                        |
| 6    | September, 2025    | 0                                   | 0                               | 0                        | 0                               | 0                        | 0                               | 0                        |
|      | <b>Grand Total</b> | <b>0</b>                            | <b>0</b>                        |                          | <b>0</b>                        |                          | <b>0</b>                        |                          |

**Trend of Annual disposal of Complaints**

| S. No. | Year               | Carried forward from previous year | Received During the year        |                          | Resolved during the year        |                          | Pending at the end of the year  |                          |
|--------|--------------------|------------------------------------|---------------------------------|--------------------------|---------------------------------|--------------------------|---------------------------------|--------------------------|
|        |                    |                                    | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL | Broking Division (NSE/BSE /MCX) | Depository Division-CDSL |
| 1      | 2017-18            | 0                                  | 0                               | 0                        | 0                               | 0                        | 0                               | 0                        |
| 2      | 2018-19            | 0                                  | 1                               | 0                        | 1                               | 0                        | 0                               | 0                        |
| 3      | 2019-20            | 0                                  | 0                               | 0                        | 0                               | 0                        | 0                               | 0                        |
| 4      | 2020-21            | 0                                  | 2                               | 0                        | 2                               | 0                        | 0                               | 0                        |
| 5      | 2021-22            | 0                                  | 0                               | 1                        | 0                               | 1                        | 0                               | 0                        |
| 6      | 2022-23            | 0                                  | 0                               | 1                        | 0                               | 1                        | 0                               | 0                        |
| 7      | 2023-24            | 0                                  | 0                               | 2                        | 0                               | 2                        | 0                               | 0                        |
| 8      | 2024-25            | 0                                  | 0                               | 2                        | 0                               | 2                        | 0                               | 0                        |
| 9      | <b>2025-26</b>     | <b>0</b>                           | <b>0</b>                        |                          | <b>0</b>                        |                          | <b>0</b>                        |                          |
|        | <b>Grand Total</b> | <b>Nil</b>                         | <b>9</b>                        |                          | <b>9</b>                        |                          | <b>0</b>                        |                          |