

# DB (International) Stock Brokers Ltd.

- NSE&BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

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## Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

### Data for every month ending

S No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution on time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil		Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil		Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil		Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil		Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>		<b>Nil</b>

**Trend of monthly disposal of complaints**

<b>S.No</b>	<b>Month</b>	<b>Carried forward from previous month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending**</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	April 2022	Nil	Nil	Nil	Nil
2	May 2022	Nil	Nil	Nil	Nil
3	June 2022	Nil	Nil	Nil	Nil
4	July 2022	Nil	Nil	Nil	Nil
5	August 2022	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of Annual disposal of Complaints**

<b>S.No</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2018-19	Nil	Nil	Nil	Nil
2	2019-20	Nil	1	1	Nil
3	2020-21	Nil	Nil	Nil	Nil
4	2021-22	Nil	Nil	Nil	Nil
5	2022-23	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>1</b>	<b>1</b>	<b>Nil</b>