



DB (International) Stock Brokers Ltd.

- NSE&BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

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Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

S No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution on time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil		Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil		Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil		Nil
4	Depositories-CDSL	Nil	1	Nil	1	Nil		2
5	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil		-
	Grand Total	Nil	Nil	Nil	Nil	Nil		-

Trend of monthly disposal of complaints

S.No	Month	Carried forward from previous month	Received			Resolved*			Pending**
			NSE	BSE	CDSL	NSE	BSE	CDSL	
1	2	3	4			5			6
1	April 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	May 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	June 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	July 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
5	August 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
6	September 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
7	October 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
8	November 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
9	December 2022	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
10	January 2023	Nil	Nil	Nil	01	Nil	Nil	01	Nil
11	February 2023	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	01			01			Nil

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of Annual disposal of Complaints

S.No	Year	Carried forward from previous year	Received during the year			Resolved during the year			Pending at the end of the year
			NSE	BSE	CDSL	NSE	BSE	CDSL	
1	2018-19	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	2019-20	Nil	1	Nil	Nil	1	Nil	Nil	Nil
3	2020-21	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	2021-22	Nil	2	Nil	Nil	2	Nil	Nil	Nil
5	2022-23	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	3			3			Nil